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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. PLA13 P-300 | SERIAL NO. |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | |  | |
| | | APPLICANT(S) Steve A. Platt | |
| | | FILING DATE | GROUP |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPRO- PRIATE |
|---------------------|-----------------|----------|--------------------|-------|---------------|---------------------------------------|
| <i>JW</i> | 5 5 9 9 1 6 8 | 02/04/97 | Lund | 416 | 136 | |
| | 5 1 8 2 4 5 8 | 01/26/93 | McConachy | 290 | 55 | |
| | 4 6 7 8 9 2 3 | 07/07/87 | Trepanier | 290 | 55 | |
| | 4 6 4 1 0 3 9 | 02/03/87 | Carre | 290 | 44 | |
| | 4 4 9 5 4 2 3 | 01/22/85 | Rogers | 290 | 44 | |
| | 4 3 6 6 3 8 7 | 12/28/82 | Carter, Jr. et al. | 290 | 55 | |
| | 4 3 6 6 3 8 6 | 12/28/82 | Hanson | 290 | 44 | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLA- TION |
|---------------------|-----------------|----------|-------------|-------|---------------|------------------|
| | | | | | | Y N |
| <i>JW</i> | 6 3 6 4 1 1 | 07/18/80 | Switzerland | | | |
| <i>JW</i> | 2 6 2 4 2 1 1 | 06/09/89 | France | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| EXAMINER INITIAL | |
|---------------------|---|
| <i>JW</i> | "Development of a 4 KW Wind Turbine Generator" from conference entitled "Energy to the 21 st Century;" Bottrell et al.; 1980; 4 pages |

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|---|-----------------------------|
| EXAMINER <i>JW</i> | DATE CONSIDERED 11/22/02 |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

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|---------------------|-----------------|----------|----------------------|-------|---------------|---------------------------------------|
| NO ↓ ↓ ↓ | 4 3 3 3 0 1 8 | 06/01/82 | Bottrell | 290 | 55 | |
| | 4 2 8 2 9 4 4 | 08/11/81 | Trumpy | 180 | 2.2 | |
| | 4 2 1 3 0 5 7 | 07/15/80 | Are | 290 | 44 | |
| | 4 0 0 6 9 2 5 | 02/08/77 | Scherer | 290 | 55 | |
| | 3 0 9 6 8 2 8 | 07/09/63 | Hollingsworth | 416 | 37 | |
| | 2 6 8 8 2 8 5 | 09/07/54 | Stockett, Jr. et al. | 416 | 37 | |
| | 2 2 2 4 0 5 2 | 12/03/40 | Irwin | 290 | 55 | |

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| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLA- TION | |
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| | | | | | | Y | N |
| NO | 6 3 4 5 8 9 | 02/20/28 | France | | | X | |
| | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER INITIAL | |
| W | "Wind powers contra-rotating generator;" J.F. Lowe; February 18, 1974; 2 pages |

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|---------------------|-----------------|----------|--------------------|-------|---------------|---------------------------------------|
| WJ | 2 0 8 0 9 5 5 | 05/18/37 | Watkins | 290 | 44 | |
| | 2 0 5 2 4 5 4 | 08/25/36 | Ellwood, II et al. | 416 | 89 | |
| | 2 0 2 9 5 0 3 | 02/04/36 | Peterson | 716 | 85 | |
| | 1 9 7 8 1 4 3 | 10/23/34 | Reimers | 290 | 55 | |
| | 1 3 3 4 4 8 5 | 03/23/20 | Cliffell et al. | 290 | 55 | |
| | 1 2 5 5 9 9 8 | 02/12/18 | Fahle | 290 | F | |
| | 1 1 8 3 2 1 9 | 05/16/16 | Manikowske | 290 | 44 | |

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|---------------------|---|
| EXAMINER INITIAL | |
| WJ | "Something in the Wind? ERDA Thinks So;" Theodore W. Black; May 20, 1976; 7 pages |

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|---------------------|-----------------|------------|--------------------|-------|---------------|---------------------------------------|
| WV | 6 6 6 9 4 6 | 01/29/1901 | Wallace | 416 | 136 | |
| ↓ | 2 6 3 1 1 3 | 08/22/1882 | Chamberlain et al. | 416 | 41 | |
| ↓ | 4 1 6 9 | 10/26/1870 | Brown | 201 | 295 | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|---------------------|---|
| EXAMINER INITIAL | |
| WV | "Computerized windmill delivers 200 kW to utility grid;" Engineering News; May 7, 1979; 3 pages |

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